Docket No.: SHIGA7.043APC

INFORMATION DISCLOSURE STATEMENT

Applicant

Hayashi, et al.

App. No

10/565,696

Filed

January 24, 2006

For

RESIN FOR POSITIVE RESIST,

RESIST

COMPOSITION, AND

METHOD OF FORMING RESIST

PATTERN

Examiner

Harlan, Robert D.

Art Unit

1713

CERTIFICATE OF EFS WEB TRANSMISSION

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via the EFS Web server on:

August 16, 2007

Neil & Bartfold, Ph.D., Reg. No. 39,901

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 2 references to be considered by the Examiner. Also enclosed are 2 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required. If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

By:

Neil S. Bartfeld, Ph.D.

Registration No. 39,901 Attorney of Record

Customer No. 20,995

(619) 235-8550

4150483/SRL 081607

Application No. 10/565,696 INFORMATION DISCLOSURE Filing Date January 24, 2006 First Named Inventor Hayashi, et al. STATEMENT BY APPLICANT Art Unit 1713 (Multiple sheets used when necessary) Examiner Harlan, Robert D. SHIGA7.043APC SHEET 1 OF 1 Attorney Docket No.

	U.S. PATENT DOCUMENTS									
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear					

	FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹				
	1	WO 02/084402 A2	10-24-2002	Clariant International Ltd.						

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹			
	2	Office Action in Chinese Patent Application No. 200480022815.4, issued on June 15, 2007.				

4150431/SRL 081607

Examiner Signature

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.